



Applicant's Docket No. U 014869-6

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor application of: Pang-Lun YANG

Serial No.: 10/716,068

Group No.: 1732

Filed: November 18, 2003

Examiner: M.D. Vargot

For: PROCESSING FOR PATTERNING AN OPTICAL ELEMENT

Mail Stop AF  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE UNDER  
37 C.F.R. 1.116  
EXPEDITED PROCEDURE  
EXAMINING GROUP

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AMENDMENT OR RESPONSE AFTER FINAL REJECTION—TRANSMITTAL

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory;  
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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

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TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office. to (571)-273-8300

Date: January 1, 2007

Signature

CLIFFORD J. MASS

(type or print name of person certifying)

Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation. Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

1. Transmitted herewith is an amendment after final rejection (37 C.F.R. 1.116) for this application.

*NOTE: Response to Final Rejection—Avoiding Extension Fees "In patent applications wherein a three month Shortened Statutory Period (SSP) is set for response to a Final Rejection, the response would best be filed within two months of the date of the Office Action. If filed within two months, any Advisory Action mailed after the SSP expires will reset the SSP to expire on the date of the Advisory Action for extension fee purposes, but never more than six months from the date of the Final Rejection." Notice of Nov. 30, 1990 (1122 O.G. 571 to 591).*

#### STATUS

2. The application is qualified as  
☐ a small entity.  
☒ other than a small entity.
3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply for a term of up to six (6) months.

#### EXTENSION OF TERM

*NOTE: As to a Supplemental Amendment filed in response to a final office action, the Notice of December 10, 1985 (1061 O.G. 34-35) states:*

*"If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run."*

*(complete (a) or (b), as applicable)*

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

	<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
<input type="checkbox"/>	one month	\$ 120.00	\$ 60.00
<input type="checkbox"/>	two months	\$ 450.00	\$ 225.00
<input type="checkbox"/>	three months	\$ 1,020.00	\$ 510.00
<input type="checkbox"/>	four months	\$ 1,590.00	\$ 795.00
<input type="checkbox"/>	five months	\$ 2,160.00	\$ 1,080.00

Fee: \$ \_\_\_\_\_

If additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

OR

- (b) ☐ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

					OTHER THAN A			
(Col. 1)		(Col. 2)		(Col. 3)	SMALL ENTITY	SMALL ENTITY		
Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Addit. Fee	OR	Addit. Fee
Total	* Minus	**	=		x \$ 25=	\$		x \$ 50 = \$
Indep.	* Minus	***	=		x \$ 100=	\$		x \$ 200= \$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim					+ \$ 180 =	\$		+ \$ 360 = \$
					Total Addit. Fee	\$ ____	OR	Total Addit. Fee \$ ____

\* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** See 37 C.F.R. § 1.116.

### FEE PAYMENT

5. ☒ No additional fee is required.

OR

- ☐ Total additional fee required is \$ \_\_\_\_\_.
- ☐ Attached is a check in the sum of \$ \_\_\_\_\_.
- ☐ Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

### FEE DEFICIENCY OR OVERPAYMENT

*NOTE: Where there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the case. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 12-0425

AND/OR

- ☒ If any additional fee for claims is required, charge Account No. 12-0425

AND/OR

- ☒ Refund any overpayment to Account No. 12-0425.

Reg. No.: 30086

Tel. No.: (212) 708-1890

SIGNATURE OF PRACTITIONER

CLIFFORD J. MASS  
(type or print name of practitioner)

P.O. Address

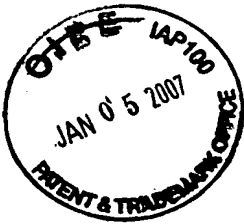
c/o Ladas & Parry LLP  
26 West 61<sup>st</sup> Street  
New York, N.Y. 10023

Customer No.: 00140



00140

PATENT TRADEMARK OFFICE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pang-Lun YANG  
Serial No.: 10/716,068      Group No.: 1732  
Filed: November 18, 2003      Examiner.: M.D. Vargot  
For: PROCESS FOR PATTERNING AN OPTICAL ELEMENT

Attorney Docket No.: U 014869-6

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL RESPONSE AFTER FINAL

Applicant resubmits herewith his Amendment or Response After Final mailed 24 October 2006 with a copy of the return-receipt postcard showing receipt of the response by the USPTO on October 27, 2006.

**CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\***

*(When using Express Mail, the Express Mail label number is **mandatory**;  
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**37 C.F.R. 1.8(a)**

**37 C.F.R. 1.10\***

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**TRANSMISSION**

- ☐ transmitted by facsimile to the Patent and Trademark Office, to **(571)-273-8300**

Date: January 12, 2007

Signature

CLIFFORD J. MASS

*(type or print name of person certifying)*

**\*WARNING:**

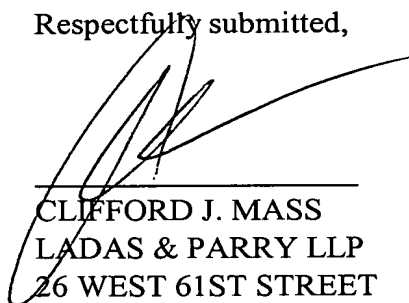
Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

The private PAIR system shows receipt of the transmittal papers accompanying the Amendment or Response After Final, but does not show receipt of the Amendment or Response After Final and the Examiner has not acted on the response. In response to a telephone inquiry from the undersigned, the Examiner of this application, Mathieu Vargot, courteously suggested that Applicant resubmit the Amendment response with the postcard receipt, as Applicant has now done.

An early and favorable reconsideration of the application in view of the is again respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to be "Clifford J. Mass", is written over a horizontal line.

CLIFFORD J. MASS  
LADAS & PARRY LLP  
26 WEST 61ST STREET  
NEW YORK, NEW YORK 10023  
REG. NO.30,086(212)708-1890



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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: **Pang-Lun YANG**

Serial No.: 10/716,068

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Filed: November 18, 2003

Examiner: M.D. Vargot

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**Mail Stop AF**

**Commissioner for Patents**

**P. O. Box 1450**

**Alexandria, VA 22313-1450**

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1732**

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**AMENDMENT OR RESPONSE AFTER FINAL REJECTION-FIRST PAGE**

This response is to the Final Action of June 29, 2006.

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**37 C.F.R. 1.8(a)**

**37 C.F.R. 1.10\***

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Signature \_\_\_\_\_

Julian H. Cohen

(type or print name of person certifying)

Date: October 24, 2006

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"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(Amendment or Response after Final Rejection—First Page) 9-20.1